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United States Patent and Trademark OfficeCOPY OF PAPERS  
ORIGINALLY FILED2602  
CONFIRMATION NO. 6025  
Page 1 of 1  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 6025

SERIAL NUMBER 09/894,431	FILING DATE 06/27/2001 RULE	CLASS 345	GROUP ART UNIT 2614	ATTORNEY DOCKET NO. 52126.00017 (Digeo 109.2)
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## APPLICANTS

Loc Nguyen, Issaquah, WA;  
Paul G. Allen, Mercer Island, WA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/284,767 04/18/2001  
AND A CIP OF 09/703,272 10/31/2000  
WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000  
AND A CIP OF 09/703,261 10/31/2000  
WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 07/24/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance	WA	5	32	7
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

## ADDRESS

Arnold M. de Guzman  
Squire, Sanders & Dempsey L.L.P.  
600 Hansen Way  
Palo Alto, CA 94304-1043

## TITLE

On-remote-control email and other service indicator methods, systems, and devices

FILING FEE RECEIVED 1376	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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2614

# TRANSMITTAL FORM

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Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

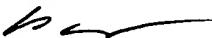
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Version w/ Markings to Show Changes Made
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Preliminary Amendment (Amendment A)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return receipt postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP		
Signature			
Date	December 28, 2001		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: December 28, 2001

Typed or printed name	Arnold M. deGuzman		
Signature		Date	Dec. 28, 2001

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Application Number 09/894,431

Filing Date June 27, 2001

First Named Inventor Loc Nguyen

Group Art Unit 2614

Examiner Name not yet known

Total Number of Pages in This Submission

9

Attorney Docket Number

10003.001410 (digeo 109.2)

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Request for Corrected Filing Receipt
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Copy of Filing Receipt with Corrections in Red Ink; Exhibits A & B; Return receipt postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	
Date	December 28, 2001

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Typed or printed name Arnold M. deGuzman

Sign: Date Dec. 28, 2001

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PATENT

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In Re Application of: Loc Nguyen & Paul G. Allen	Examiner: not yet known
Serial No.: 09/894,431	Art Unit: 2614
Filed: June 27, 2001	Atty. Docket No.: 10003.001410 (digeo 109.2)
Title: ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR METHODS, SYSTEMS, AND DEVICES	

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Washington, D.C. 20231

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REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the Official Filing Receipt received from the U.S.P.T.O. for the above application.

The Filing Receipt contains the following error(s) :

1. The "Domestic Priority data as claimed by applicant" is erroneously stated as "**THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000 AND A CIP OF 09/703,261 10/31/2000 WHICH CLAIMS BENEFIT OF 60/284,767 04/18/2001 AND CLAIMS BENEFIT OF 60/238,581 10/06/2000**". The Filing Receipt should be corrected to indicate the "Domestic Priority data as claimed by applicant" as "**THIS APPLICATION CLAIMS BENEFIT OF 60/284,767 04/18/2001. THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000 WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000 AND IS A CIP OF 09/703,261 10/31/2000 WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000**". The above

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data is evidenced by page one (1) of the filed specification (a copy of which is enclosed and marked as EXHIBIT A) and the filed utility patent application transmittal (a copy of which is enclosed and marked as EXHIBIT B).

Please issue a corrected Filing Receipt rectifying the above error(s). The requested corrections are indicated in red ink in the enclosed copy of the Filing Receipt.

Favorable action is respectfully solicited.

Dated: December 28, 2001 Respectfully submitted,  
Loc Nguyen & Paul G. Allen

By: A. M. de Guzman  
Arnold M. de Guzman  
Attorney For Applicant(s)  
Reg. No. 39,955  
DEGUZMAN OKAMOTO & BENEDICTO LLP  
P.O. Box 51900  
Palo Alto, California 94303  
(650) 691-2030  
(650) 691-2032 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING		
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Signature:	<u>A. M. de Guzman</u>	
Typed or Printed Name:	Arnold M. de Guzman	Dated: <u>Dec. 28, 2001</u>
Express Mail Mailing Number (optional):		



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,431	06/27/2001	2614	1246	52126.00017 (Digeo 109.2)	5	32	7

CONFIRMATION NO. 6025

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OC000000006343139\*

Arnold M. de Guzman  
Squire, Sanders & Dempsey L.L.P.  
600 Hansen Way  
Palo Alto, CA 94304-1043

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Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Loc Nguyen, Issaquah, WA;  
Paul G. Allen, Mercer Island, WA;

**Domestic Priority data as claimed by applicant**

— THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000 —  
— AND A CIP OF 09/703,261 10/31/2000 —  
— WHICH CLAIMS BENEFIT OF 60/284,767 04/18/2001 —  
— AND CLAIMS BENEFIT OF 60/238,581 10/06/2000 —

THIS APPLICATION CLAIMS BENEFIT  
OF 60/284,767 04/18/2001.  
THIS APPLICATION IS A CIP  
OF 09/703,272 10/31/2000  
WHICH CLAIMS BENEFIT OF  
60/238,581 10/06/2000 AND  
IS A CIP OF 09/703,261 10/31/2000  
WHICH CLAIMS BENEFIT OF  
60/238,581 10/06/2000.

**Foreign Applications**

If Required, Foreign Filing License Granted 07/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

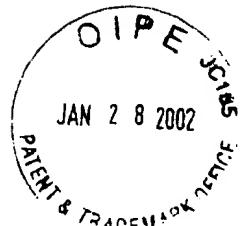
Early Publication Request: No

**Title**

On-remote-control email and other service indicator methods, systems, and devices

Preliminary Class

345



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Team : OIPE

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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# EXHIBIT A

Docket No. 52126.00017  
Digeo 109.2

## ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR

### METHODS, SYSTEMS, AND DEVICES

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Inventors: Loc Nguyen and Paul G. Allen **RECEIVED**

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#### Cross-Reference To Related Applications

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The present application claims priority to U.S. Provisional Application No. 60/284,767, by common inventors, Loc Nguyen and Paul G. Allen, filed April 18, 2001, and 10 entitled "ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR LED AND SOUND". Application No. 60/284,767 is fully incorporated herein by reference.

The present application is a continuation-in-part of and claims the benefit of U.S. Patent Application Serial 15 No. 09/703,272, entitled "USER INTERFACE FOR PERSONALIZED ACCESS TO INTERNET-ENABLED TELEVISION," by Paul G. Allen, Anthony F. Istvan, and Armando P. Stettner, filed October 31, 2000, which claims the benefit of U.S. Provisional Patent Application Serial No. 60/238,581, entitled " USER 20 INTERFACE, SYSTEM, AND METHOD FOR PERSONALIZED ACCESS TO INTERNET-ENABLED TV," by Paul G. Allen, Anthony F. Istvan, and Armando P. Stettner, filed October 6, 2000.

The present application is a continuation-in-part of and claims the benefit of U.S. Patent Application Serial

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Docket No. 52126.00017  
Digeo 109.2

NO. 09/703,261, entitled "SYSTEMS AND METHODS FOR

PERSONALIZED ACCESS TO INTERNET-ENABLED TELEVISION," by

Paul G. Allen, Anthony F. Istvan, Armando P. Stettner,

filed October 31, 2000, which claims the benefit of U.S.

5 Provisional Patent Application Serial No. 60/238,581,

entitled "USER INTERFACE, SYSTEM, AND METHOD FOR

PERSONALIZED ACCESS TO INTERNET-ENABLED TV," by Paul G.

Allen, Anthony F. Istvan, and Armando P. Stettner, filed

October 6, 2000.

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10 Application Nos. 09/703,272, 09/703,261, and

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60/238,581 are each fully incorporated herein by reference.

#### Technical Field

This disclosure relates generally to interactive  
15 television (iTV) systems, and more particularly but not  
exclusively, to systems, devices, and methods for providing  
notification of electronic mail (email) messages, instant  
messages, and/or other services/information to a user of an  
interactive television system.

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#### Background

An interactive television (iTV) differs from a  
conventional television in that an interactive television  
includes additional information and communication

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.		52126.00017 (Digeo 109.2)
First Inventor		Loc Nguyen
Title	ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR METHODS, SYSTEMS, AND DEVICES	
Express Mail Label No.		EL701364195US

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Technology Center 2600**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification      [Total Pages 38]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113)      [Total Sheets 5]      [Total Pages 1]
- Oath or Declaration      [Total Pages 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.  unsigned
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ol> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ol>		
<b>ACCOMPANYING APPLICATIONS PARTS</b>		
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (unsigned) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: Assignment (unsigned); General Authorization/Req.Pet.f/Ext. of Time		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)(1) of prior application No.: 09/703,272

Prior application information: Examiner \_\_\_\_\_

(2) of prior application No: 09/703,261

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Arnold M. de Guzman Squire, Sanders & Dempsey L.L.P.				
Address	600 Hansen Way				
City	Palo Alto	State	CA	Zip Code	94304-1043
Country	USA	Telephone	650.856.6500		Fax 650.843.8777

Name (Print/Type)	Arnold M. de Guzman	Registration No. (Attorney/Agent)	39,955
Signature			Date June 27, 2001

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